

Austraclear New Zealand System Host-to-Host Lite Application

[Date]

Manager Payment and Settlement Systems
Financial Services Department
Reserve Bank of New Zealand
P O Box 2099
WELLINGTON

MEMBER NAME		
Member Mnemonic		
Member Branch Mnemonic		
Member BIC		
Contact for Host-to-Host Lite	Technical	Business
Name		
Telephone Number		
Facsimile Number		
Email		

The Member applies to use the Austraclear Host-to-Host Lite interface. This service is governed by the Austraclear Rules, and this application notice forms part of the Rules. In the event of conflict between the terms of this notice and the body of the Rules, this notice takes priority.

In completing this application the member accepts that they will be required to:

- Comply with the requirements and protocols detailed in the document “A Guide to Host-to-Host Lite” (the User Manual, Ref #82527.).
- Complete and return the section “Host-to-Host Lite Interface Details” prior to setup on the Test system, noting that the production system will also be configured based on this information.
- Complete staged testing on the Austraclear test system prior to receiving access to the production system through the interface.

- Maintain Austraclear member, security and error codes on the member's own system.
- Pay the associated charges for connection and use of Host-to-Host Lite as detailed on the Reserve Bank of New Zealand website, reference <http://www.rbnz.govt.nz/payment/austraclear/>. This includes: payment for the testing session(s), payment of establishment fees and the monthly maintenance charge which is payable in arrears. All payments will be included in the standard monthly billing cycle.

The member understands and accepts that the Reserve Bank of New Zealand will:

- Assume all transactions received are for auto settlement.
- Process messages in accordance with the User Manual.
- Discard defective messages within a Member's Host-to-Host Lite file. Discarded (invalid) messages will be identified by the system and will be available for Member inspection on the Austraclear system. Such messages would need to be resent by the member.
- Accept no responsibility or liability for problems caused by the message carrier.
- Include and identify Host-to-Host Lite charges in the existing billing structure.
- Activate access to the interface in production once all testing has been satisfactorily completed using the details provided in this application form, and advise the member once this is completed.

OTHER INFORMATION RELATING TO THE OPERATION OF THE HOST TO HOST LITE INTERFACE

- Trade authorization is dependent upon the settings configured by the member in the system authorization table. Note that for trades entered via the Host-to-Host Lite interface, the existing 1st level authorization is no longer applicable, so authorization relates only to second+ subsequent levels.
- Branch relationships configured on the Austraclear system will apply to transactions entered via Host-to-Host Lite – noting that the appropriate Host-to-Host Lite functions must have been configured for each mnemonic as determined by the Operating Mnemonic i.e. the sender of the file.

Host-to-Host Lite Interface Details.

HOST TO HOST LITE FUNCTIONS			
HOST TO HOST LITE WILL BE USED FOR THE FOLLOWING TRADE TRANSACTIONS			
<input type="checkbox"/>	CASH TRANSFERS	<input type="checkbox"/>	FIXED INTEREST
<input type="checkbox"/>	EQUITIES	<input type="checkbox"/>	FOREIGN EXCHANGE

BRANCH RELATIONSHIPS	
Please specify any branch relationships you intend to use in the Host to Host Lite connection, ie other mnemonics on whose behalf you may load transactions	
Other Member	
Other Member	
Other Member	
Other Member	
Other Member	

(Name/Title)¹

(Signature)

(Name/Title)²

(Signature)

(Date)

¹ Authorised signatory.

² A second signature may be required depending upon member signatory requirements.